COLLECTIVE BARGAINING AGREEMENT

by and between

THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS

and

UNIVERSITY PROFESSIONALS OF ILLINOIS (UPI)
LOCAL #4100,
ASSOCIATION OF GRADUATE EMPLOYEES

August 16, 2007 – August 15, 2010

Springfield, Illinois

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Contract by and between The Board of Trustees of the University of Illinois and

The University Professionals of Illinois (UPI), Local 4100, Association of Graduate Employees

Effective: August 16, 2007 – August 15, 2010

ARTICLE 1 ENTIRE AGREEMENT

This contract is made and entered by and between the Board of Trustees of the University of Illinois, a public corporation (hereinafter referred to as Employer), and the University

Professionals of Illinois, Local 4100, (UPI) (hereinafter referred to as Union) representing certain graduate assistant employees of the Employer.

In the acceptance of this Agreement, the parties recognize that numerous issues and proposals were raised in the bargaining process. Any issue or proposal not incorporated into this Agreement has been dropped by the parties. There are no agreements between the parties, written or oral, which are not expressly incorporated into this written Agreement. Each party agrees that the parties have reached this Agreement in good faith and that no unfair labor practice charges will be filed by either party concerning the negotiation process.

ARTICLE 2 LIMITATIONS

A. This Agreement is subject to:

- 1) Applicable Federal and State laws and regulations issued thereunder as they may be amended from time to time;
- The statutes and rules promulgated by the Board of Trustees of the University of Illinois as they exist on the effective date of this Agreement;
- 3) Provisions of the UIS Graduate Assistantship Policy Manual as they exist on the effective date of this Agreement, or as amended; each of which is incorporated herein by reference.

- B. In the event of conflict among any of the foregoing and any provision of this Agreement, the former shall prevail, except where a deviation from the same is set out in express terms herein.
- C. The University reserves the right to modify or add policies, rules and/or regulations which are permissive subjects of bargaining. The University shall notify the Union when considering a change to a policy, rule or regulation which pertains to a mandatory subject of bargaining. The Union reserves the right to request bargaining prior to implementation of the change.

ARTICLE 3 RECOGNITION

The employer recognizes the Union as the sole and exclusive bargaining representative with respect to hours, wages, terms and conditions of employment for the bargaining unit consisting of the:

Graduate Assistants Teaching Assistants

ARTICLE 4 PURPOSE AND NON-DISCRIMINATION

Section 1. Purpose

This Agreement has as its purpose and intent the promotion of sound and mutually beneficial relations between the University and the Union.

This Agreement is intended to establish the wages, hours, and terms and conditions of employment and the procedure for equitable resolution of differences. To this end both parties mutually enter into this Agreement and commit to the upholding of the cooperative relationships between the University and the Union that this Agreement represents.

Section 2. Non-Discrimination

The University of Illinois at Springfield is committed to the most fundamental principles of academic freedom, equality of opportunity, and human dignity. Decisions involving students and employees will be based on individual merit and be free from inappropriate discrimination in all its forms.

The University of Illinois at Springfield will not engage in discrimination or harassment against any person because of race, color, religion, sex, national origin, ancestry, age,

marital status, disability, sexual orientation including gender identity, unfavorable discharge from the military or status as a protected veteran and will comply with all access and equal opportunity policies and employment laws and regulations. This non-discrimination policy applies to admissions, employment, access to and treatment in the University programs and activities. Assistants are encouraged to resolve complaints of discrimination under this Agreement by contacting the Office of the Associate Chancellor for Access and Equal Opportunity.

The parties agree that personnel decisions, including reappointment, shall be based solely on job and academic related criteria and performance.

There shall be no discrimination against any employee because of Union membership or because the employee is acting as a representative of the Union or its members or other graduate employees pursuant to the contract or policies or rules.

The University and the Union agree that the language of this Agreement shall be interpreted and applied in a manner consistent with the requirements of the Americans with Disabilities Act.

Each assistant may make his/her own personal decision with respect to the Union or other employee organization membership, without intimidation or coercion. There will be no discrimination against any assistant because of Union membership or because the assistant is acting as a representative of the Union or its members pursuant to the provisions of this Agreement.

ARTICLE 5 MANAGEMENT RIGHTS

A. Except as specifically abridged by this Agreement, all powers, rights, and authority of the University are reserved by the University, and the University retains sole and exclusive control over any and all matters in the operation, management and administration of the University, the control of its properties and the maintenance of order and efficiency of the workforce, and complete authority to exercise those rights and powers by making and implementing decisions with respect to those rights and powers. Such rights and powers include, but are not limited to, the exclusive right and power:

- 1. to determine the mission of the University, the organizational structure, and the methods and means necessary to fulfill that mission, including the transfer, alteration, curtailment or discontinuance of any services;
- to adopt and amend budgets and make budgetary allocations or reallocations affecting the University as a whole or any of its departments or units;

- to establish qualifications, appoint, and determine the appointment fraction and duration of employment upon appointment for all Assistants, including whether Assistants will be reappointed and, if so, the terms and conditions governing such reappointment;
- 4. to determine the number of Assistants to be appointed or reappointed and to relieve Assistants from duty due to lack of work or funds;
- 5. to determine, assign, and schedule the type and kind of services and the work to be performed by Assistants or by others, including the job content and the location of such services or work;
- 6. to establish, modify, combine or eliminate job classifications;
- 7. to determine the number, location, or relocation or facilities, buildings and rooms, and ancillary facilities such as parking lots, including the policies governing the use of such buildings, rooms or facilities;
- 8. to supervise, train, and evaluate Assistants;
- 9. to establish quality and performance standards rules for Assistants;
- 10. to discipline, suspend, or discharge Assistants for just cause;
- 11. to determine materials and equipment to be utilized by Assistants and the methods and means by which work shall be performed and services provided;
- 12. to adopt and enforce policies, rules and regulations, including rules and regulations governing tuition waivers and the work, training, and conduct of Assistants; and
- 13. to perform all other functions inherent in the administration, management, and control of the University.
- B. The University and the Union agree that academic freedom is one of the values essential to higher education. It is further agreed, except as abridged by the specific terms of this Agreement, that the University retains sole and exclusive control:
 - to make all academic judgments concerning (a) courses, curriculum, and instruction; (b) the content of courses; (c) methods of instruction; (d) instructional materials; (e) the nature and the form of assignments required including examinations and other work; (f) class size; (g) grading policies and practices;

- to determine all academic policies, procedures, rules and regulations in regard to Assistants' status as students including, but not limited to, all questions of academic standing, intellectual integrity, and any matter relating to academic progress in a University educational program;
- 3. to make academic evaluations and determinations as to the fulfillment of degree requirements, including the relationship between work performance and progress toward degree requirements.
- C. Nothing in this clause waives the Union's right to negotiate mandatory subjects of bargaining.

ARTICLE 6 DUES AND FAIR SHARE

Section 1. Dues Deduction

The University Administration will deduct Union membership dues, in an amount established by the Union and certified in writing by the Union's treasurer to the University Administration, from the salary of a bargaining unit member who gives the University Administration written authorization to make such deduction.

Section 2. Dues Remittance

Prior to the deadline for payroll entry at the beginning of each fiscal year, the Union shall transmit to the University Administration a list of bargaining unit members for whom dues are to be deducted and the exact amount to be deducted from the salary of each member. Any additions to the list during the fiscal year shall be transmitted by the Union to the University Administration. Dues deducted will be remitted to the Union treasurer or other official designated in writing by the Union. In the event that the Office of the State Comptroller fails to forward to the UPI a list of the bargaining unit members from whose salaries such deductions were made and the amounts deducted, the University will supply the UPI such a list.

Section 3. Termination of Dues Deduction

Any authorization to withhold Union dues from the salary of a bargaining unit member shall terminate and such withholding shall cease at any time upon the occurrence of any of the following events: (a) termination of employment; (b) written notice by the bargaining unit member to the University Administration of his/her desire for cancellation

of the authorization; (c) expiration of the time during which such withholding was authorized; or (d) when the total amount authorized to be withheld has been so withheld. The University Administration shall inform the Union treasurer whenever such termination occurs.

Section 4. Notification of Change in Union Dues

The Union shall give written notice to the University Administration of any changes in its dues at least thirty (30) days prior to the effective date of any such change.

Section 5. Fair Share Fee

The parties agree that a fair share fee shall be deducted from the monthly salary of employees who do not choose to become union members within thirty (30) calendar days of their date of initial appointment to a position in the bargaining unit. The fair share fee shall begin on the first day of the first pay period beginning forty-five (45) calendar days after the date of initial appointment. Such involuntary deduction shall remain in effect for the duration of this Agreement. An employee's fair share of the Union's cost of the collective bargaining process and contract administration shall not, in any event, exceed the dues uniformly required of members of the Union. Such fair share fee shall be deducted from the earnings of non-member employees pursuant to the usual and customary payroll deduction procedures of the Employer and paid to the Union.

The Union and the University shall comply with the rules of the Labor Board concerning notice, objections and related matters contained in its fair share rules. Upon adoption of any Union internal appeal procedure, the Union shall supply the University with a copy. In addition, the Union shall advise the University of any subsequent change therein. The Union shall submit an affidavit to the Employer certifying the amount of any increase in the fair share fee. Any such increase in fair share fee deductions shall commence with the first pay period starting thirty (30) calendar days after the Union certifies to the Employer the amount of increase. The Union shall notify all non-member employees as to the amount of such increase. The University shall provide the Union with a monthly report of all new members hired in the bargaining unit.

Section 6. Indemnification/Union Responsibility

The Union shall indemnify, defend, and hold the University, its members, officials, agents, employees or representatives harmless against any claim, demand, suit, or any form of liability (monetary or otherwise), including attorney's fees and costs arising from the deduction of membership dues or fair share fees established by the Union and

communicated to the University Administration in compliance with this Article. The Union assumes full responsibility and liability for the disposition of monies deducted from the salaries of bargaining unit members for Union dues and fair share fees once such monies have been remitted to the officer designated by the Union to receive such remittance. The Union shall promptly refund to the University any funds received pursuant to this Article which are in excess of the amounts which the University Administration has agreed to deduct or any funds received pursuant to this Article which have been deducted in error.

Section 7. Fines/Penalties/Special Assessments

Nothing in this Article shall require the University Administration to deduct Union fines, penalties, or special assessments from the salary of any bargaining unit member. Other deductions authorized by individual bargaining unit members shall not be prohibited by this Section.

Section 8. Limitations

The University shall not be liable to the Union by reason of the requirements of this Article for the remittance of payment of any sum other than those constituting deductions authorized by this Article. The University Administration's obligation under this Article is limited to remitting to the Union the sum or sums actually deducted from wages earned.

ARTICLE 7 APPOINTMENT TERMS

Section 1. Notice of Appointment

All newly appointed and re-appointed TAs and GAs shall receive a letter of appointment which specifies the appointment title, stipend amount, effective dates (duration) of service (including any mandatory or optional orientation sessions), the supervising official in the department, and the full time equivalence (FTE) of the appointment.

Section 2. Appointment Terms, Acceptance

A student who has been offered a TA or GA appointment is required to confirm acceptance to the department in writing (including electronic mail) within the time period specified by the department. In the event initial acceptance is made by electronic mail, the student is required to sign the official acceptance contract before the contract period

begins. Acceptance of an appointment requires the assistant to be present and available to perform assigned duties during those dates.

Section 3. Duration of Employment

An Assistant shall be employed for a specific period of not less than one semester or for a special limited purpose. A special limited purpose is employment that covers unexpected needs arising during a semester and whose duration is less than a semester, or is supported by a grant or contract whose duration is less than one semester. In all cases, employment begins and ends according to the employment period specified in the appointment letter or when the special limited purpose is complete.

Section 4. Resignation/Release

A written letter of resignation is required if an Assistant wishes to resign from the position. Assistants must provide a minimum of 10 working days notice prior to the effective date of any such resignation.

A written release from the appointing unit is required if an assistant wishes to resign after acceptance of an appointment in order to accept another assistantship appointment.

If an Assistant resigns from the appointment before serving 91 calendar days from the first day of the Fall or Spring semester start date, but continues as a graduate student at UIS, the Assistant will be assessed tuition for the term. Tuition payment is not required if the Assistant officially withdraws from UIS at the time of resignation; however, the Assistant is required to pay UIS fees and any withdrawal surcharges.

Section 5. Renewal of Appointments

Assistantships are normally limited to a maximum of four semesters. The University may consider extending an appointment beyond the four-semester limit in exceptional circumstances. Students who wish to request an exception to the four-semester limit must submit a completed petition to the director of the Graduate Assistantship Program. GAs and TAs whose academic progress and service record have been determined to be satisfactory are eligible for reappointment.

ARTICLE 8

ORIENTATION AND TRAINING

The campus and the units that appoint Graduate and Teaching Assistants are jointly responsible for providing appropriate orientation and training programs for the Assistants they appoint.

Orientation and training shall normally be conducted during the appointment. The time the Assistant spends in orientation and training will be counted toward the Assistant's required hours. In the event that mandatory orientation/training begins before the Assistant's appointment term, the Assistant will be given equivalent compensation time during the regular appointment period for time spent in orientation and training.

Section 1. Mandatory Training

Mandatory orientation or pre-service training is provided to all Assistants in the first week of the contract period. The campus-wide Assistantship orientation covers topics such as library, web and technology resources; time-keeping, holidays, compensatory time, role relationships, and university policies related to professional behavior. Unit-level orientations focus on content and procedures that are specific to the Assistant's duties.

Attendance at mandatory orientation and training activities is required for continuation of a Graduate or Teaching Assistantship. Assistants may request additional follow up training.

Section 2. TA Continuing or In-Service Training

Appointing departments or course supervisors are required to explain to their TAs the nature of any in-service training in which they are required to participate. Throughout their service as teaching assistants, classroom TAs participate in staff meetings with their faculty supervisors and other TAs assigned to the same course. These meetings provide formal and informal opportunities to address with peers and supervisors any problems and concerns, to clarify the department's and supervisor's expectations for TAs, and to develop the TAs' skills as teachers. Through these meetings TAs may request more information or individual attention to address subjects and skills where they feel the need for improvement, and supervisors may identify areas that need improvement and devise means of addressing these areas (e.g., additional discussions of pedagogical strategies and techniques, micro-teaching, class visitation to provide feedback about progress, visiting classes taught by other TAs to observe their teaching).

Section 3. GA/TA Work Rules

GAs and TAs shall comply with all work rules and expectations that are developed in their appointing programs, departments, or units as well as applicable University rules and policies. Applicable University policies include, but are not limited to, Sexual Harassment and Human Rights Policies, the University and Student Codes of Conduct, and policies governing research with human subjects and the use of University resources.

In performance of their University duties, all TAs and GAs will conduct themselves in a manner that is professional, courteous, and conducive to a professional atmosphere.

Section 4. Hours of Work

The general hours of work will be set forth under the appointment terms and hours of work sections of this agreement.

In the event a graduate assistant wishes to change his or her work schedule based upon adding or dropping a course, after the work plan has been agreed to, the graduate assistant shall meet and discuss the impact on his/her work schedule. The work schedule may be changed by mutual agreement between the parties. In the event mutual agreement can not be reached, the work schedule as agreed to in the work plan shall prevail.

- A. Assistants' hours of work are reflected in their percentage of appointment. Such hours of work are separate and distinct from the time required for an Assistant's own academic coursework.
- B. It is understood that Assistants in this bargaining unit are engaged in professional activities of such a nature that the output produced, or the result accomplished, cannot be precisely standardized or measured in relation to a given period of time and that the time necessary to accomplish an assignment may vary.
- C. The appointment level (full time equivalency or FTE) shall be based on the appointing department's determination of the amount of time it should normally take to perform the assigned duties including orientation and training. It is expected that a .5 FTE assistant will devote an average of 20 hours per week over the course of a full appointment period. Assistants appointed for other FTE levels would be expected to perform work for a proportionately greater or lesser average number of hours per week. The actual number of weekly hours of work may vary over the course of the appointment term.
- D. An Assistant may request an adjustment in the workload if the Assistant reasonably believes that his/her duties consistently require hours which exceed the hours of effort required by the appointment percentage over the full term or constitute an arbitrary or

capricious workload. Requests for an adjustment in the workload must be submitted in writing to the Supervisor.

- E. Assistants are expected to work when the campus is open, with the exception of the week designated as Thanksgiving Recess in the fall semester, the week designated as Spring Recess in the spring semester, and Winter Break. Winter Break is defined as the week between Christmas Day and New Year's Day.
- F. All duties required by the supervising staff member, department, college, or university, or otherwise required for an Assistant to adequately perform his/her appointment, including but not limited to orientations, required meetings, or preparation, except for courses for which academic credit is given, shall be included in the calculation of average hours specified in section A and shall fall within the range of duties for TAs and GAs.

Section 5. Evaluation

- A. Appointing units shall develop a written work plan that indicates the Assistant's general areas of responsibility, specific tasks, the relation between the specified responsibilities and the Assistant's academic and professional goals, and the estimated number of hours per week to be spent for each assigned responsibility. The work plan must be signed and dated by the Supervisor and the Assistant. The work plan will be submitted to the Assistant's personnel file in the Graduate Assistantship Office before the second week of the contract period.
- B. Assistants must meet responsibilities specified in the work plan and adhere to the agreed-upon work schedule.
- C. Supervisors are encouraged to informally discuss the Assistants' performance with the Assistants on an ongoing basis throughout the appointment period.
- E. Evaluations shall be undertaken in a non-arbitrary manner. Performance of GAs and TAs will normally be reviewed formally at least twice during the academic year. The supervisor and the assistant will provide a written formative evaluation at the mid-point of the assistantship appointment and a written summative evaluation at the end of the assistantship appointment.

Section 6. Additional Employment

The parties recognize and agree that the Assistant's first obligation and commitment is to fulfill the degree requirements of the academic program in which he or she is enrolled and the assistantship duties assigned by the appointing unit. Assistants must not hold regular employment with UIS while holding an appointment as an assistant. Assistants

are allowed to work at UIS in limited occasional employment (activities such as survey research polling, parking cars) for a maximum of 5 hours of work per week.

Any additional employment outside of UIS shall not exceed 20 hours per week and shall not interfere with responsibilities assigned or inherent in the Assistant's position or academic program in which the Assistant is enrolled at the University. Assistants are not prohibited from working fulltime in another job during Thanksgiving Recess, Spring Recess, the break between semesters, and the summer.

Appointing units have the discretionary right to make appointments to students whose level of commitment suggests that they will be most likely to attain their educational goals while maintaining their assistantship responsibilities.

Section 7. Use of University Resources

An Assistant may not utilize University of Illinois' course materials, curricula, facilities, resources, or other materials in non-University employment or activities.

ARTICLE 9 DISCIPLINE AND DISMISSAL

The University agrees with the principle of positive progressive discipline intended to correct Assistant deficiencies, when possible. Discipline may include oral warning, written notice of deficiency in performance, suspension, and, in extreme cases, reduction of Assistant duties with a corresponding reduction in appointment percentage and pay. The parties acknowledge that discipline might not be progressive if the offense is sufficiently serious. Dismissal is termination of an assistantship during a semester or other period of appointment.

Discipline and dismissal will be for just cause, which shall include but not be limited to the following reasons: failing to attend mandatory orientation or other sessions; engaging in misconduct in the performance of University duties or academic activities; neglecting or refusing to perform assigned duties; demonstrating unsatisfactory performance; violating University regulations or policies related to discrimination and harassment; acting outside the appropriate exercise of University responsibilities so as willfully to physically harm, threaten physical harm to, harass, or intimidate a visitor or a member of the University community; and damaging, destroying, or misappropriating property owned by the University or any property used in connection with a University function or approved activity. Discipline and dismissal may result from an accumulation of minor infractions as well as from a serious infraction.

A Supervisor alerted to the possibility of misconduct by an Assistant shall attempt to clarify the facts directly with the Assistant. Discipline shall be issued in a private manner so as not to cause embarrassment to the Assistant.

In cases of disciplinary oral warning the Supervisor must inform the Assistant that he/she is receiving an oral warning and must give the Assistant the reasons for the warning. A pre-disciplinary meeting shall be held if discipline other than an oral warning or written notice of deficiency in performance is contemplated. The Assistant shall be notified at least two days in advance in writing of the purpose of the pre-disciplinary meeting and the contemplated disciplinary action. The Assistant shall be given the opportunity to rebut the reasons for the contemplated discipline. Anonymous documents shall not be used to justify disciplinary action unless the University reasonably deems it necessary. Assistants have the right to Union representation throughout the entire disciplinary process and during any investigatory interview that may reasonably lead to discipline.

An Assistant may be dismissed without oral warning or notice of deficiency in performance if he or she fails to maintain academic eligibility because of unsatisfactory academic progress, obtains off-campus employment in excess of 20 hours per week, engages in unethical conduct, or is absent without approved leave for three (3) working days or more.

The director of the Graduate Assistantship Program will review all recommendations for suspension, reduction in appointment and pay, and dismissal and make the decision. If a decision is made to suspend, reduce the appointment and pay, or terminate an assistantship appointment, the Assistant will be given 30 calendar days notice. The Assistant has the right to appeal this decision to the Provost during the 30-day period.

ARTICLE 10 GRIEVANCE AND ARBITRATION

If a final determination results in suspension, reduction in appointment and pay, or dismissal during the term of appointment, a grievance may be submitted, provided the grievance is submitted in writing within fifteen (15) calendar days following notice of the suspension, reduction, or dismissal. In the event of arbitration, the arbitrator, if possible, shall hear the case within thirty (30) days and deliver a decision and award within thirty (30) days thereafter. The University and the Union shall work jointly to secure a mutually agreeable arbitrator able to hear the case and deliver a decision within the time limits specified in this article. In the event of arbitration, if the arbitrator does not find for the University, the arbitrator may only make a finding of fact and award back pay, but not reinstatement. Any award of back pay shall not exceed an amount the Assistant would have earned from the date of suspension, reduction in appointment, or dismissal to the end of the semester.

Section 1. Grievance Procedure

A grievance is a complaint filed by an Assistant or group of Assistants covered by the bargaining unit alleging a violation by the University of a specific provision or provisions of this Agreement.

Procedures for Handling Grievances

A. Step One – Informal

The Grievant shall attempt to resolve the matter informally with his/her appropriate Supervisor prior to filing the grievance. The informal conference, if followed in good faith by both parties, shall lead to a fair and prompt solution of most assistant-supervisor problems. However, if the informal conference does not prompt a solution, a written grievance may be filed with the Dean/Director, or his/her designee, within twenty (20) calendar days following the date of the act or omission giving rise to the grievance.

B. Step Two – Formal

The Dean/Director, or his/her designee, will, within twenty (20) calendar days of the filing of the grievance, arrange a formal conference between the appropriate campus representative(s) and the Grievant and the Union. If the conference results in resolution of the grievance, the grievance will not be processed further. The Dean/Director, or his/her designee, will notify the Grievant or Union President as appropriate of any resolution reached as a result of the conference. The Dean/Director, or his/her designee, will issue a written decision within twenty (20) calendar days following conclusion of the conference.

C. Withdrawal of Grievance

A grievance may be withdrawn at any time by the Grievant or the Union.

D. Step Three – Appeal to the Provost

If the grievance is not settled at Step Two and the Grievant or the Union wishes to appeal the grievance to Step Three, the Grievant or the Union may file a written request to the Provost, or his/her designee, in the Office of the Provost within fourteen (14) calendar days after the Step Two Decision is received or due. Upon receipt of an appeal, the Provost, or his/her designee, will make a complete and thorough review of the written record of the Dean/Director, or his/her designee, and will issue a written decision on the grievance within twenty (20) calendar days from the date of the receipt of the appeal.

E. Step Four – Arbitration

If the decision of the Provost, or his/her designee, does not resolve the grievance acceptably to the Union, the grievance may be moved to arbitration. If the Union wishes to appeal to arbitrate the decision of the Provost, or his/her designee, such an appeal must be made in writing to the Provost within twenty (20) calendar days after such decision was received or was due.

Section 2. Provisions Applicable to Arbitration Procedures

A. Selection of Arbitrator

If the parties are unable to agree on an arbitrator, the parties shall jointly request from the Federal Mediation and Conciliation Service a list of seven (7) arbitrators from which to select an arbitrator. Determination as to which party strikes first shall be determined by the toss of a coin with the winner deciding to strike first or second. The parties shall alternately strike one name from the list until one name remains.

B. Location of Hearing

The arbitrator shall hold the hearing in Springfield unless otherwise agreed to by the parties.

C. Functions of the Arbitrator

It shall be the function of the arbitrator to rule on the specific grievance. The arbitrator shall perform this function subject to the following rules and limitations:

- 1. The arbitrator's award shall be based solely upon the evidence and legal arguments appropriately presented by the parties at the hearing and in any post-hearing briefs.
- 2. The arbitrator shall have no authority to add to, subtract from, modify, change, alter or ignore in any way the provisions of this Agreement or any expressly written amendment or supplement thereto.
- 3. An award may or may not be retroactive as the equities of each case demand, but in no case shall an award be retroactive to a date earlier than the date the harm occurred.

D. Arbitration Fees and Costs

The costs of the list of arbitrators, and the arbitrator's fees and expenses shall be shared equally by the Union and the University, but each party shall bear its own costs of preparing and presenting its case to the arbitrator. Where one of the parties to this

Agreement requests a postponement of a previously scheduled arbitration which results in a postponement charge, the postponing party shall pay such charge unless the postponement results in a settlement of the grievance, in which event the settlement shall determine division of the charge. A postponement charge resulting from a joint postponement request shall be shared equally by the parties.

Either party to an arbitration may request that a transcript of the hearing be made. The requesting party shall pay the cost for the transcript and shall provide a copy free of charge to the arbitrator. In the event that the party who did not order a copy of the transcript of the hearing subsequently decides to order a copy of the transcript, that party shall pay for its copy and share equally in the cost of the copy of the transcript provided to the arbitrator.

E. Scope of Arbitration

- 1. Arbitration shall be confined solely to the application and/or interpretation of the specific and explicit provisions of this Agreement and the precise violation of such provisions.
- The Board of Trustees of the University of Illinois Statutes and Rules, Laws of the State of Illinois and Rules and Regulations of Administrative Agencies are not subject to arbitration.
- F. Miscellaneous Provisions Related to Grievance-Arbitration

In the event it is necessary for a Grievant or any other bargaining unit member who has been called as a witness by either the Union or the Employer to participate in a grievance or arbitration meeting provided for in this Article during their normal working hours, their compensation will neither be increased nor decreased for time spent in such meeting. Should it be necessary for a grievant or any other bargaining unit member to participate in a grievance or arbitration meeting provided for herein outside their normal working hours, time so spent shall not be considered as time worked.

Failure of the Grievant to comply with the time limitations of this Article shall render the grievance null and void and bar subsequent filing of the grievance.

Failure of the University to respond to a grievance within specified time periods will permit the grievant to proceed to the next step.

Time limits set forth in this Article may be extended only by mutual agreement set forth in writing and signed by the parties.

All informal grievance settlements shall specify a time by which the settlement shall be implemented. The time limit may be extended by mutual agreement between the Provost, or his/her designee, and the Union President.

A grievance settled prior to arbitration shall be binding only as to that particular grievance and shall not be precedent setting.

The parties may agree to consolidate grievances on similar issues at any level.

The filing or pendency of a grievance under the provisions of this Article shall not prevent the University from taking the action complained of, subject however to the final decision on the grievance. Pending final disposition of the grievance, the grievant shall fulfill his/her professional responsibilities as assigned.

The award of the arbitrator shall be binding upon the University, the Union, and the grievant, to the extent permitted by and in accordance with applicable law and this Agreement.

All grievances, requests for review, notices, and decisions shall be transmitted in person, or by certified or registered mail, return receipt requested to the last known home address of the addressee. Postmark dates shall be considered the effective date of communication.

ARTICLE 11 EMPLOYEE RIGHTS

An Assistant's department or unit shall make arrangements for the Assistant's access to his/her office, lab, studio or classroom, or similar work place during normal work hours. Building access outside of normal work hours will be provided solely at the discretion of the department or unit executive officer in keeping with campus and/or department policy.

Each department or unit shall make available a designated receptacle for Assistants to receive mail.

A department or unit will provide access to telephones, computers, internet, email, a desk or work surface and storage as necessary to fulfill the Assistant's work obligations as determined by the University. In some instances, Assistants may be required to share University resources.

A department or unit will provide access to supplies and equipment as necessary to fulfill the Assistant's work obligation without cost to the Assistant.

ARTICLE 12 LEAVES AND HOLIDAYS

Section 1. Paid Leaves

Assistantships typically require services on a 9-month or semester-by-semester basis, and Assistants do not earn any vacation.

Section 2. Sick Leave

Assistants are eligible for 13 noncumulative and noncompensable work days of leave at the percentage of their appointment for each 9-month appointment. Thus, Assistants on nine-month 50% FTE appointments receive 6.5 eight-hour sick days per academic year. Graduate students appointed to one-semester assistantships are eligible for 6.5 days of sick leave at the percentage of their appointment. Thus, for a one-semester appointment, Assistants receive 3.25 eight-hour days of sick leave.

Assistants must promptly notify the department head or supervisor so that arrangements for coverage of duties can be made and the usage recorded. Assistants are subject to University policies concerning use of sick leave which are subject to modification from time to time.

Section 3. Parental Leave

Eligible Assistants are entitled to up to two weeks of parental leave without loss of pay immediately following the birth of a child, or upon either the initial placement or the legal adoption of a child under 18 years of age. Eligible Assistants are those who hold an active appointment at the time the parental leave is taken. The requirement that academic staff members must have six months of service to receive this benefit does not apply to graduate employees. Parental leave will be counted as part of the twelve-week entitlement accorded by the Family and Medical Leave Act (FMLA) for FMLA-eligible individuals and may be used in conjunction with other paid or unpaid leaves for which the individual is eligible.

Section 4. Jury Duty

Assistants are eligible for leave of absence without loss of pay for the duration of jury duty on the day or days when the Assistant would have otherwise been performing assistant duties. An Assistant may also retain funds paid in compensation for jury duty in keeping with University policy.

An Assistant summoned as a juror shall immediately inform his/her supervisor of the absence. Teaching Assistants who are called for jury duty shall discuss with their supervisor whether jury service will conflict with their teaching obligation and if so,

whether requesting a deferral from jury duty is appropriate. An Assistant who reports for jury duty and is dismissed shall resume his/her normal duties as soon as possible.

Section 5. Military Leave

Military leave shall be in accordance with applicable state and federal law, and University policy.

Section 6. Bereavement Leave

Assistants are eligible to receive up to three days of paid leave due to the death of a member of his/her immediate family or household and one working day due to the death of a relative outside his/her immediate family or household. Immediate family in this instance includes an assistant's spouse, domestic partner, mother, father, children, brother, sister, mother/father-in-law, daughter/son-in-law, sister/brother-in-law, grandparents and grandchildren. Relatives outside the immediate family or household are defined as an aunt, uncle, niece, nephew and first-degree cousin.

Section 7. Coverage Responsibility

If an Assistant must be absent from work, it is the Assistant's responsibility to inform the Assistant's Supervisor in advance of the absence, to explain to the Supervisor the reason for the absence, to secure the Supervisor's approval of the absence, and to follow the employing unit's policies concerning arranging for substitutes to perform the Assistant's duties.

Section 8. Personal Leave of Absence

An Assistant may be granted an unpaid leave of absence during the term of his/her appointment, upon request to and at the sole discretion of the University and subject to such terms and conditions as the University may establish.

Section 9. Holidays

Assistants will have the holidays recognized and designated by the University during the academic year. Assistants will also receive two (2) four-hour "floating" holidays, which can be taken at any time during the academic year with prior approval from the Supervisor. Floating holidays cannot be carried over from one academic year to the next.

Assistants are not required to make up the hours that they normally would have worked on a day that is a holiday. For example, if a holiday falls on a Monday, and the Assistant normally would have worked three hours on Monday, he or she would not be expected to make up those three hours. Holidays cannot be carried over or accumulated from one semester to the next or from one academic year to the next.

Section 10. Family Medical Leave Act of 1993

In compliance with the Family and Medical Leave Act of 1993 ("FMLA") and applicable rules and regulations, the University may adopt policies to implement the FMLA that are in accord with what is legally permissible under the Act and the applicable rules and regulations.

ARTICLE 13 PERSONNEL FILES

The University's Graduate Assistantship Office maintains the official personnel file for covered assistants. The University may maintain other personnel-related files.

Assistants will be permitted to review their official personnel file(s) pursuant to provisions of the Illinois Employee Access to Records Act (820 ILCS 40/1 et seq.). All files shall be open, accessible, and available for an assistant's review, except for records which are not required to be produced under this Act, within seven (7) days of such request. If authorized by an assistant in writing, the Union may also review the official personnel file(s) pursuant to relevant provisions of this Act. Requests by assistants or their designated representative for copies of personnel files will be honored at a cost not to exceed the actual cost of duplication.

Neither the files nor any of their contents shall be copied or otherwise made known to any person outside the University without the assistant's written permission or as otherwise allowed by law.

Assistants may dispute information in the file(s) and if unable to reach an agreement with the University on correcting or removing that information, may submit a statement to be attached to the disputed material as long as it is part of the file(s).

Personnel record information which was not included in the personnel record(s) but should have been as required by the Illinois Personnel Records Review Act (820 ILCS 40/1 et. seq.) shall not be used by the University in a grievance, disciplinary or arbitration proceeding. However, personnel record information which, in

the opinion of the grievance hearing officer or an arbitrator, was not intentionally excluded from the personnel record(s) may be used by the University in the proceeding if the Assistant has been given a reasonable time to review the information. Material which should have been included in the personnel record(s) shall be used at the request of the Assistant.

Records relating to the grievance process, such as appeals, responses, and settlement documents, shall be maintained separately from the official TA or GA personnel file(s).

ARTICLE 14 HEALTH AND SAFETY

The University is committed to the safety and well-being of its students, staff, and the public it serves. The administration, faculty, and staff have the responsibility to promote health and safety in their environment and operations and shall do so in accordance with any and all applicable federal and state laws. Assistants shall report any unhealthy or hazardous work conditions and the University shall review the situation and attempt to resolve the matter. An Assistant may refuse to work in hazardous work conditions as allowed by law.

Section 1. Work Site Closure

If a work site is closed for health and safety reasons, affected Assistants shall continue to receive their tuition and service fee waivers and stipends for the remainder of the appointment period. If the University provides appropriate alternate space, affected Assistants will be expected to carry out their duties in that space.

Section 2. Compliance

The University will comply with all applicable federal and state laws regarding asbestos and toxic materials and University policies and regulations regarding employment accommodations and health and safety.

Assistants will comply with all University policies and regulations regarding health and safety.

ARTICLE 15 LABOR-MANAGEMENT MEETINGS AND CONSULTATION

Section 1. Labor-Management Meetings

It is the joint intention of the Union and the University to meet on a regular basis to promote a sound and mutually beneficial relationship. The number of union representatives present at labor management meetings shall not exceed two (2), unless mutually agreed to by the parties. Grievances shall not be discussed during labor-management meetings.

ARTICLE 16 WAGES AND STUDENT INSURANCE

Section 1. Monthly Rates Year 1

The Base salary for all bargaining unit members on the payroll shall be increased to \$850.00 effective April 16th of 2007. In addition, all bargaining unit members who worked during the April 16th to May 15th pay period shall receive a \$200 lump sum in lieu of retroactivity.

Section 2. Monthly rates Year 2

Beginning the Academic Year 2007 – 2008 (AY007/08), there shall be a \$50 difference from first year graduate assistants monthly stipend and second year graduate assistants monthly stipend. The monthly stipend for first year students, effective August 16, 2007 shall be \$887.50. Second year assistants monthly stipend shall be \$937.50. A graduate assistant is considered a second year assistant if they held an assistantship position during the previous academic year (this may include assistants who were appointed in the Spring semester).

Section 3. Monthly Rates Year 3

Graduate assistants have the option in year three (3) of this Agreement to accept either the general percentage amount appropriated for salary increases for higher education employees as set by the Illinois legislature, the campus salary program, or, bargaining unit members may elect a wage re-opener. The union must notify the employer of its intent no less than sixty (60) calendar days prior to the beginning of the academic year (August 16, 2008) of its intent regarding the three (3) monthly stipend increase options detailed above.

Section 4. Monthly Rates Year 4

Monthly stipend rates for year four (4) of this Agreement shall be subject to a wage and student insurance re-opener. The union must notify the employer of its intent no less than sixty (60) calendar days prior to the beginning of the academic year (August 16, 2009) of its intent to re-open the Agreement for the purpose of negotiating over monthly stipend rates.

Section 5. Student Insurance

Graduate assistants are required to have insurance coverage. Student insurance is offered by the University of Illinois at Springfield. The cost of the student insurance is the responsibility of the student. Students may waive the University offered insurance coverage by providing proof of comparable coverage prior to established deadlines.

Bargaining unit members are subject to the terms and conditions of the student insurance program (unless a waiver form is on file with the Office of Human Resources).

The union may exercise an option to re-open this Agreement for the purpose of negotiating over the Student Insurance for the fourth (4th) year of this Agreement. The Union must notify the University of its intent to re-open this Agreement for the purpose of negotiating over the Student Insurance as set forth in Wages, Section 4 Monthly Rates Year Four (4).

ARTICLE 17 DURATION OF AGREEMENT

Section 1. Term of Agreement

This Agreement shall be effective April 16, 2007, and continue in full force and effect through August 15, 2010. It shall be automatically renewed from year to year thereafter unless either party notifies the other in writing at least sixty (60) days prior to the expiration date that it desires to modify or terminate this Agreement. In the event that either party submits such notification, the other party retains the right to submit proposed modifications to this Agreement during the resulting negotiations.

Section 2. Status During Negotiations

Once the notice called for in Section 1 above has been given, this Agreement shall remain in full force and effect indefinitely throughout the negotiations until a new Agreement has been entered into; provided, however, that either party may after the expiration date of this Agreement terminate the same by giving at least ten (10) days written notice to the other party of its intention to so terminate.

<u>Section 3. Commencement of Negotiations</u>
The party giving notice of a desire to modify the contract as provided for in Section 2 above shall commence negotiations by submitting a detailed list of modifications or changes desired. The party receiving said notice may propose additional changes in the contract.

ACCEPTANCE BY THE PARTIES

	the Employer have executed this Agreement his, 2007.
FOR THE UNIVERSITY PROFESSIONALS OF ILLINOIS, LOCAL #4100	BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS
By Sue Kaufman, UPI President	ByComptroller
By Evan Wilson President, A.G.E.	ATTEST: Secretary
By	APPROVED:
Dave Kamper, IFT Field Director By UPI A.G.E. Bargaining Team Member	Associate Vice President for Administration and Human Resources
ByUPI A.G.E. Bargaining Team Member	
	Assistant Vice President for Human Resources
	APPROVED AS TO LEGAL FORM:
	For University Counsel Date

APPENDIX "A" TO THE AGREEMENT BY AND BETWEEN THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS AND THE UNIVERSITY PROFESSIONALS OF ILLINOIS LOCAL #4100, ASSOCIATION OF GRADUATE EMPLOYEES

YEAR	STATUS*	RATES**	EFECTIVE
Academic Year 2006 – 2007	First Year	\$850.00	04/16/2007
	Second year	\$850.00	04/16/2007
Academic Year 2007 – 2008	First Year	\$887.50	08/16/2007
	Second Year	\$937.50	08/16/2007
Academic Year 2007 – 2008	First Year	Re-opener OR	Subject to
	Second Year	Campus Salary	Negotiation
		Program OR	
		State Appropriation	
Academic Year 2008 – 2009	First Year	Wage Re-opener	Subject to
	Second Year	AND	Negotiation
		Insurance Re-opener	

^{*} Status – First Year Assistants are defined as those Assistants who were initially appointed during the academic year. Second Year Assistants are those who were appointed in a previous academic year.

^{**} Rates – In years where there is a re-opener, or an option that the union may choose, the moving party must provide proper notification to re-open negotiations (as set forth in Article 16 Wages).

APPENDIX "B" GRIEVANCE FORM

GRIEVANT:	
	(name)
	(address)
	(phone no.)
	(S) OF AGREEMENT ALLEGED TO HAVE BEEN VIOLATED icle and section number):
DATE VIOL	ATION ALLEGEDLY COMMITTED:
	T OF GRIEVANCE (Be specific as to acts or omissions complained of mitted alleged violation):
STATEMEN' ALLEGED V	

APPENDIX "B" GRIEVANCE FORM

6.	REMEDY SOUGHT:			
7.	LISTING OF DOCUMENTS RELATED TO ALL HARM:	EGED VIOLATION AND/OR		
	Grievant Signature	Date		
	Union Grievance Officer (Required only if Grievant chooses to be represen	Date ted by UPI)		
	ACKNOWLEDGMENT OF FILI	NG		
grieva	ndersigned acknowledges that onnce was filed with the University Grievance Administrato received by certified or registered mail, return receipt requ	r by personal delivery or		
 Unive	rsity Grievance Officer or Designee Date			

APPENDIX "B" GRIEVANCE FORM